FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

ı	OIVID APPROVAL									
l	OMB Number:	3235-0287								
l	Estimated average burden									
	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     JOHNSON CARLTON M					2. Issuer Name and Ticker or Trading Symbol PEREGRINE PHARMACEUTICALS INC [ PPHM ]									ck all applica			on(s) to Issu 10% Ow Other (s	ier	
I I				3. Date of Earliest Transaction (Month/Day/Year) 05/06/2013									below)	Aive une	below)		респу		
(Street) TUSTIN CA 92780				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Inc Line)	<b>'</b>						
(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					ay/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  ive Securities Acqui			ar)	3. Transactic Code (Ins 8) Code V	on itr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4  Amount (A) or (D)  Sed of, or Beneficia		A) or 3, 4 and 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Form:	Direct Indirect I	7. Nature of ndirect Beneficial Ownership Instr. 4)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	4. Trans	action (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Options, Co 6. Date Exercisal Expiration Date (Month/Day/Year		ble and	7. Title and An of Securities Underlying Derivative Sec (Instr. 3 and 4)		Amount	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ct (Instr. 4)	
				Code	v	(A)	(D)	Date Exe	e ercisable		Expiration Date	Title	OI N			(instr. 4)			
Stock Option (right to buy)	\$1.41	05/06/2013		A		250,000		08/0	06/2013 <sup>(1)</sup>	0	5/06/2023	Commo Stock		250,000	\$0.00	1,352,0	000	D	

## Explanation of Responses:

1. This option vests in eight (8) equal quarterly installments over a two (2) year period beginning August 6, 2013 and each quarter thereafter until fully-vested.

/s/ Carlton M. Johnson

05/08/2013

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.