FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL             |     |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-01      |     |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Walsh Patrick D  |                                  |       | Date of Event<br>Requiring Staten<br>Month/Day/Year<br>.0/20/2017 | nent  | 3. Issuer Name <b>and</b> Ticker or Trading Symbol PEREGRINE PHARMACEUTICALS INC [ PPHM ] |  |   |                             |   |   |   |  |
|--|----------------------------------|-------|---|---|---|--|---|-----------------------------|---|---|---|--|
| (Last)   | (First) (Middle) FRANKLIN AVENUE |       | , 20, 20 1  |   | Relationship of Reporting Perso (Check all applicable)     X Director                     |  | son(s) to Issuer  |                             | 5. If Amendment, Date of Original Filed (Month/Day/Year)  |   |   |  |
| (Street)   |                                  |       |   |   |   | Officer (give title below)                                       | Other (spe  | pecify                      | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person |   |   |  |
| TUSTIN   | CA                               | 92780 |   |   |   |  |   |                             | Form filed by More than One<br>Reporting Person   |   |   |  |
| (City)   | (State)                          | (Zip) |   |   |   |  |   |                             |   |   |   |  |
| Table I - Non-Derivative Securities Beneficially Owned   |                                  |       |   |   |   |  |   |                             |   |   |   |  |
| 1. Title of Security (Instr. 4)  |                                  |       |   |   |   | ially Owned (Instr. 4)   | 3. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 5) |                             | 4. Nature of Indirect Beneficial Ownership (Instr. 5)   |   |   |  |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                                  |       |   |   |   |  |   |                             |   |   |   |  |
| Expiration   |                                  |       | Expiration Da   | Date Exercisable and xpiration Date Month/Day/Year) |   | 3. Title and Amount of Securit<br>Underlying Derivative Security |   | 4.<br>Convers               | cise  | 5.<br>Ownership<br>Form:                    | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
|  |                                  |       | Date<br>Exercisable   | Expiratior<br>Date                                  | n Title   | 9  | Amount<br>or<br>Number<br>of<br>Shares                            | or Security<br>Number<br>of |   | Direct (D)<br>or Indirect<br>(I) (Instr. 5) |   |  |

**Explanation of Responses:** 

No securities are beneficially owned.

<u>/s/ Patrick D. Walsh</u> <u>10/24/2017</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.